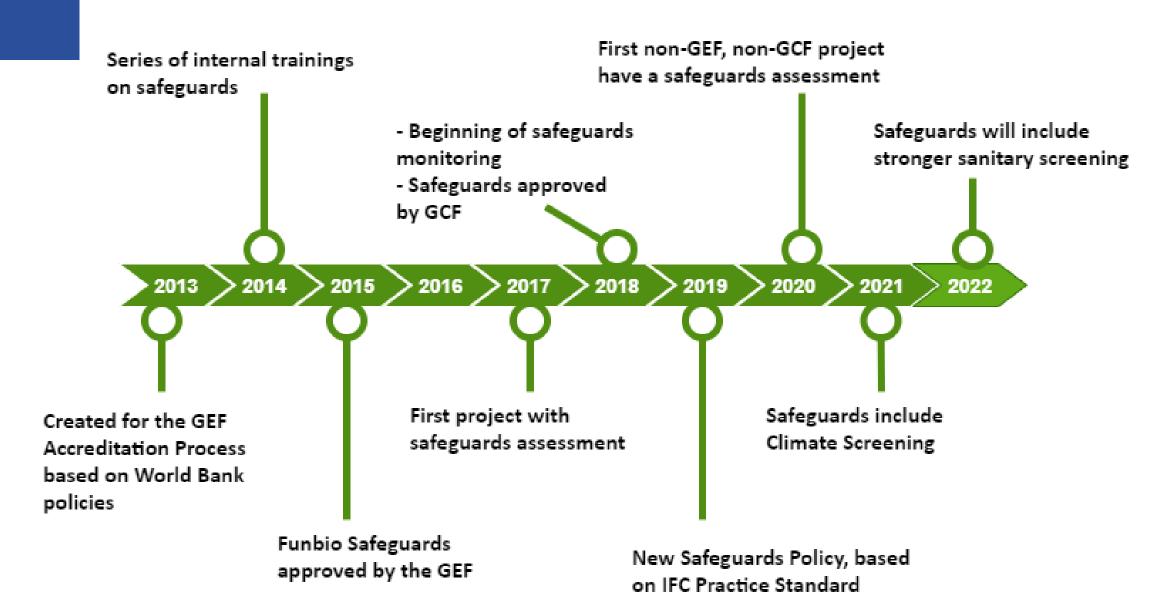


Timeline





Safeguards



- It is just a specialized form of project risk management, which appears to go beyond the project's main/initial scope at first sight.
- On second sight it makes projects stronger
- Doesn't need to be costly, especially if included sooner than later into project design
- Most safeguards monitoring can also be embedded in the usual project monitoring, the exception is the grievance system which is truly a different thing.

Environmental and Social Assessment



Final screening results guide which subjects or areas need further study

Environmental and Social Assessment PS1 - RISK MANAGEMENT PS2-LABOR **PS3- RESOURCE EFFICIENCY** PS4- COMMUNITY **PS5-LAND RESETTLEMENT PS6-BIODIVERSITY PS7-INDIGENOUS PEOPLE** PS8- CULTURAL HERITAGE Gender Integration **Grievance System**

Final outputs

Environmental and Social Impact Report / Safeguards Action Plan

Gender
Mainstreaming
Report /
Gender Action
Plan

Main Tools:

IFC specific guides and publications for each of these items
Funbio's operating procedures going beyond IFC in some respects or adapting to Brazilian law
Pre-selected consultants for each of these aspects

Safeguards process



Complete Safeguards Assessment (if needed) go deeper into the issues identified during screening

Hire consultants depending on the issue,

Monitoring activities include safeguards specific questions using the action plan as a basis



Safeguards Screening / initial assessment Identifies the risk category for safeguards and determines the way ahead

Done 100% by Funbio staff

The safeguards action plan is included in the project as an annex or embedded into project actions Grievance System is put in place together with a way to investigate issues

When we use it





During project development, if needed. It may only be possible with a clear understanding of project activities and areas of intervention

Monitoring

Start with the usual monitoring activities



Screening

At the earliest possible time, when the project activities or likely activities are known, maybe the areas of project intervention as well

Action plan

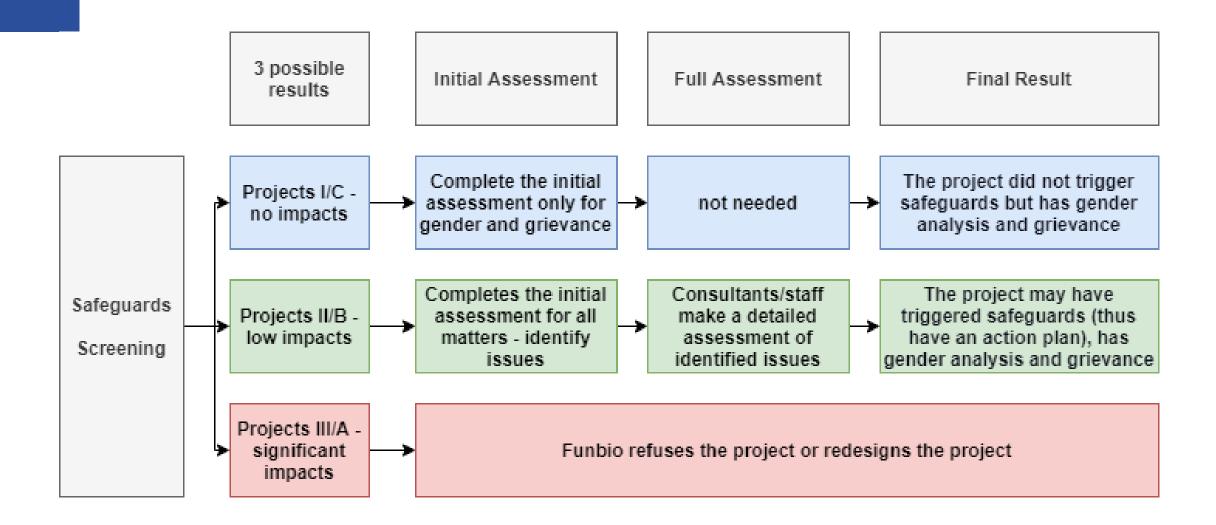
Before project approval

Grievance System

Start at the beginning of the project

Screening and Assessment possibilities





Monitoring



Always:

 Whenever any complaints about safeguards are made to Funbio through the grievance system or through the Ethics Committee (and this is monitored by the Internal Auditor)

Every six months:

- Project Technical Report has a section on the progress of safeguard activities
- Safeguards Monitoring Questionnaire
- Grievance system report on project Reports (are the channels live? Are there any complaint?)
- Supervision missions (in-person meetings of project monitoring) has a session on safeguards

Midterm and at the end of the project execution

- Project Mid-Term Review has an independent project assessment, including safeguards
- Final Project Evaluation has an independent project evaluation, including safeguards

Tools



	Take a look at
Screening	- Annex I: Part A of GCF SAP Concept Note -> this is a good screening example
	(https://www.greenclimate.fund/sites/default/files/document/sap-concept-note.docx)
Assessment	Annex I: Part B of GCF SAP Concept Note -> this is a good guideline for the "big questions" that needed to be made for a Project in an initial assessment. (https://www.greenclimate.fund/sites/default/files/document/sap-concept-note.docx)
Monitoring	- Use your current monitoring system/report, just add the safeguards indicators and have a specific field to report on safeguards on your form. Your safeguards focal point should assess the reports
Grievance System	 Communication path (link in the website, a phone number, WhatsApp, e-mail) that goes directly to your grievance staff A grievance procedure/policy to address the issues Communicate to stakeholders the existence of a grievance system

