



REPUBLIC OF MOZAMBIQUE

**Sustainable Rural Economy Program
(P174002)**

**ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)
Negotiated on April 13, 2021**

April, 2021

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Mozambique (hereinafter, the Recipient) shall implement the Sustainable Rural Economy Program (SREP or the Project) through: (i) Fundo Nacional de Desenvolvimento Sustentavel within the Ministry of Agriculture and Rural Development (FNDS/MADER); and (ii) the Ministry of the Sea, Inland Waters and Fishers (MIMAIP) (hereinafter, collectively referred to as “the Ministries”) with the involvement of the Foundation for the Conservation of Biodiversity (hereinafter, BIOFUND). The International Development Association (hereinafter, the Association) has agreed to provide financing for the Project.
2. The Recipient shall implement material measures and actions so that the project is implemented in accordance with the with the Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific instruments or plans, as well as the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, grievance management and the environmental and social assessments and instruments to be prepared or updated, disclosed, consulted, adopted and implemented under the ESCP and the ESSs, all in a manner acceptable to the Association.
3. The Recipient shall also comply with the provisions of any other Environmental and Social (E&S) documents required under the Environmental and Social Framework (ESF) and referred to in this ESCP, such as Stakeholder Engagement Plan (SEP), Pest Management Plan (PMP), Resettlement Policy Framework (RPF), Process Framework (PF), Environmental and Social Management Framework (ESMF) which among others includes Gender-Based violence, Sexual Exploitation and Abuse, Sexual Harassment Prevention and Response Action Plan (hereinafter, referred to as SEAH/GBV Action Plan) , Labor Management Procedures (LMP), Cumulative Impact Assessment (CIA), and any other necessary instruments that may be prepared during Project implementation, and the timelines specified in those E&S instruments.
4. The Recipient is responsible for compliance with all requirements of the ESCP, even when the implementation of specific measures and actions are conducted by the Ministries and agencies referenced in paragraph 1 above.
5. Implementation of the material measures and actions set out in this ESCP shall be continuously monitored and periodically reported to the Association by the Recipient, as required under the ESCP and the conditions of the grant agreement, and the Association shall monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed between the Association and Recipient, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project implementation changes and unforeseen circumstances or in response to assessment of Project implementation performance conducted under the ESCP itself. In such circumstances, the Recipient shall agree, through FNDS/MADER and MIMAIP, to the changes with the Association and shall update the ESCP to reflect such changes. Agreement on changes to the ESCP shall be documented through the exchange of letters signed between the Association and the Recipient. The Recipient shall promptly disclose the updated ESCP.
7. Where changes due to unforeseen circumstances, or project performance result in changes to the risks and impacts during Project implementation, the Recipient shall provide additional

funds, if needed, to implement actions and measures to address such risks and impacts, which may include environmental, health and safety impacts, natural resource degradation, environmental pollution, reduction of vegetation, interruption of free movement and socioeconomic activities, social conflicts due to labor influx/in-migration, community health and safety, occupational health and safety, loss of land and loss of goods and sources of income, gender-based violence (GBV) and in particular sexual exploitation and abuse/sexual harassment (SEA/SH), use of security personnel child labor and forced labor.

Table 1. Material Measures and actions

Material Measures and Actions		Timeframe	Responsible institution
MONITORING AND REPORT			
A	<p>REGULAR REPORTS</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the project, including but not limited to the implementation of the ESCP, status of preparation of E&S documents required under the ESCP and Implementation of activities under the Stakeholder Engagement Plan including project associated grievance mechanism(s).</p>	<p><i>Starting from the Effective Date, Quarterly throughout Project implementation.</i></p>	ProAzul, FNDS/MADER, and MIMAIP
B	<p>INCIDENTS AND ACCIDENTS NOTIFICATION:</p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including any allegation of SEA/SH in connection with the Project. Provide enough detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Association's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p><i>Immediately, and in any case within 48 hours after learning of the incident or accident.</i></p> <p><i>Prepare an incident/ accident report within 7 business days as per the Association's request.</i></p> <p><i>Maintain this systematic notification system throughout project implementation.</i></p>	ProAzul, FNDS/MADER, and MIMAIP
C	<p>CONTRACTORS MONTHLY REPORTS</p> <p>Contractors and sub-contractors are required to provide monthly monitoring reports on the ESHS performance of subprojects to the PIU. If needed, such monthly reports would be submitted to the Association by the Recipient upon request.</p>	<p><i>From contractors to PIU: Monthly reporting throughout Project implementation</i></p> <p><i>From PIU to the Association: Upon request</i></p>	Contractors ProAzul, FNDS/MADER, and MIMAIP

		<i>from the Association</i>	
ESS1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE:</p> <p>As part of the overall project, the Recipient shall create, and then maintain, an organizational structure with qualified staff and appropriate resources to support management of environmental and social risks and impacts including identification, assessment, monitoring and supervision. Specifically, the PIU shall recruit additional dedicated specialist: two Senior Environmental and Social Specialists, one for FNDS within MADER and one for ProAzul within MIMAIP; and one suitably qualified SEA/GBV Specialist for FNDS within MADER. In addition, at provincial level the PIU shall contract six new Environmental and Social Specialists in provinces for FNDS within MADER; three new Environmental and Social Specialists under the MIMAIP within MIMAIP; and one community development specialist for Conservation Area (CA) at Magoe National Park for BIOFUND, all recruited on the basis of terms of reference, qualifications, integrity and experience acceptable to the Association.</p>	<p><i>E&S Specialists shall be recruited before Commencement of Project Activities and within 30 days after the Project Effective Date.</i></p> <p><i>The organizational structure shall be maintained throughout Project implementation.</i></p>	ProAzul, FNDS/MADER, and MIMAIP
1.2	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT AND MANAGEMENT TOOLS</p> <p>The Project will undertake the preparation of a series of tools and instruments for mitigation of Environmental and Social risks and impacts. The following ESF instruments will be prepared, consulted upon and disclosed by the Recipient:</p> <ul style="list-style-type: none"> - Environmental and Social Management Framework (ESMF) including: Labour Management Procedures (LMP), Pest Management Plan (PMP); GBV (SEA/SH) Action Plan - Resettlement Policy Framework (RPF) - Process Framework (PF) <p>Prepare and submit to the Association the ESAs, ESMPs, RAPs required for each subproject in accordance with the ESMF.</p>	<p><i>ESMF, RPF, and PF prepared, consulted upon, and disclosed on March 29, 2021, and will be implemented throughout Project implementation.</i></p>	ProAzul, FNDS/MADER, and MIMAIP
1.4	<p>MANAGEMENT OF CONTRACTORS:</p> <p>The Recipient shall develop and implement procedures for managing contractors and subcontractors as follows:</p>	<p><i>Prior to the preparation of procurement documents.</i></p>	ProAzul, FNDS/MADER, and MIMAIP

	<ul style="list-style-type: none"> - Contractor's capacity to comply with ESHS requirements shall be assessed and verified. - Relevant aspects of the ESCP, including the management tools and instruments referred to in Section 1.3 above and any other E&S plans, Environmental Codes of Practices (ECOPs) shall be incorporated in ESHS specifications of relevant procurement documents and in contracting processes including bidding documents for potential civil works. - Supervision arrangements including reporting and effective noncompliance remedies shall be reflected in contractual requirements and enforced thereafter. - Procedures to provide for proper interface between the Project GRM and Contractor GRM shall be established and maintained. 	<i>Maintain procedures throughout Project implementation</i>	
1.5	<p>PERMITS, CONSENTS AND AUTHORIZATIONS: Obtain or assist in obtaining and verifying that contractor has obtained, as appropriate, the permits, consents and authorizations that are applicable to the Project from relevant national authorities such as:</p> <ul style="list-style-type: none"> - Environmental licenses - Water use and effluent discharging licenses - Land use rights (DUATs) - Construction licenses - Bush clearing licenses - Any other licenses as and when required <p>Comply or cause to comply, as appropriate, with the conditions established in these permits, consents and authorizations throughout Project implementation.</p>	<p><i>Prior to initiating activities that require permits, consents and authorizations.</i></p> <p><i>Throughout Project implementation.</i></p>	ProAzul, FNDS/MADER, and MIMAIP
1.7	<p>CONTINGENT EMERGENCY RESPONSE In the event of an emergency which leads to activation of the Contingent Emergency Response Component (CERC) of the Project, the necessary instruments and measures will be developed as required prior to undertaking of the emergency response activities, to ensure compliance with the ESSs and in accordance with the Exclusion List and the Environmental and Social Screening Check List that were prepared and included in the CERC ESMF as part of the Project ESMF.</p>	<p>Prior to the start of emergency response activities. The request to activate this part shall come from the implementer with prior No Objection from the Association.</p>	ProAzul, FNDS/MADER, and MIMAIP

ESS2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES: Develop, consult upon, adopt, disclose and implement thereafter the Labour Management Procedures (LMPs) for all Project workers aligned with National Labour Legislation and the specifications set forth in ESS2.</p> <p>The LMP shall address all issues identified in ESS2, including the applicable requirements regarding non-discrimination, equal opportunity, prohibition of child labor and forced labor and include a grievance redress mechanism (GRM) covering all Project workers throughout project implementation. This GRM shall be consistent with ESS2 and shall be equipped to handle allegations of SEA/SH.</p>	<p><i>LMP prepared as part of the ESMF and disclosed on March 29, 2021.</i></p> <p><i>LMP to be implemented throughout Project implementation.</i> <i>GRM under LMP to be operational by no later than sixty (60) days and before engaging Project workers, and to be maintained throughout Project implementation</i></p>	ProAzul, FNDS/MADER, and MIMAIP
2.2	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES: Develop and implement OHS measures, in line with National legislation and ESS2, as part of the LMP (included in the ESMF) and Emergency Response Plan (ERP).</p> <p>The ESMF includes OHS measures (including site-specific), including a COVID -19 protocol to ensure that all project activities minimize the spread of infections.</p>	<p><i>Updated, consulted upon and disclosed on March 29, 2021.</i></p> <p><i>Implemented throughout Project implementation</i></p>	ProAzul, FNDS/MADER, and MIMAIP
ESS3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT: ESMF includes a Pest Management Plan (PMP) in compliance with ESS3 requirements. Resource efficiency, pollution prevention and management measures are covered under the ESMF.</p>	<p><i>Maintained throughout Project implementation.</i></p>	ProAzul, FNDS/MADER, and MIMAIP
ESS4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>TRAFFIC AND ROAD SAFETY:</p>	<p><i>Maintained throughout Project implementation</i></p>	ProAzul, FNDS/MADER, and MIMAIP

	<p>Measures and actions to address traffic and road safety risks are included in the ESMF and in the specific ESMP to be prepared subsequently, following subprojects' screening results.</p> <p>Procedures to address traffic and road safety risks that include emergency communication in case of accident and incidents shall be prepared and applied to all services provides involved in project implementation</p>		
4.2	<p>COMMUNITY HEALTH AND SAFETY:</p> <p>Develop measures and actions to assess and manage specific risks and impacts to the community arising from Project activities, including in relation to Project Workers and any risks of labor inflow, and include these measures in the ESMPs to be prepared in accordance with the ESMF, in a manner acceptable to the Association. The Project shall apply the requirements of the Environmental Health and Safety Guidelines (EHSGs) as well as ESS4 provisions when preparing subproject level E&S instruments.</p> <p>The ESMF prepared for the project includes OHS measures, including a COVID -19 protocol to ensure that all project activities minimize the spread of infections.</p>	<i>Maintained throughout Project implementation</i>	ProAzul, FNDS/MADER, and MIMAIP
4.3	<p>GBV AND SEA RISKS:</p> <p>GBV Action Plan prepared as part of ESMF. It will be applied across all activities.</p> <p>A Code of Conduct on GBV will be prepared and applied to all workers involved in project implementation.</p> <p>The Recipient shall ensure that the labor and community related clauses of the ESMP and SEA/SH Action Plan are included in the bidding documents, Requests for Proposals (RFPs) and contracts for contractors, sub-contractors and service providers, who are required to</p>	<i>Maintained throughout Project implementation.</i>	ProAzul, FNDS/MADER, and MIMAIP

	<p>prepare Contractor's ESMPs (C-ESMP), shall include a Community Health and Safety Plan (CHSP).</p> <p>A suitably qualified SEA/GBV Specialist shall be recruited</p>		
4.4	<p>EMERGENCY RESPONSE MEASURES (ERP): ERP measures shall be defined in the ESMF and disseminated as part of the SEP. The Measures shall be updated and detailed with site specificity in subsequent ESMPs.</p>	<p><i>Prior to project activities. Maintained throughout Project implementation.</i></p>	<p>ProAzul, FNDS/MADER, and MIMAIP</p>
ESS5: LAND ACQUISITION, RESTRICTION ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	<p>LAND ACQUISITION AND RESETTLEMENT: A Resettlement Policy Framework (RPF) and Process Framework were developed, consulted upon and disclosed to provide guidance on any land acquisition requirements under the project.</p> <p>Resettlement Action Plan (RAPs) shall be prepared before carrying out the associated activities, in a manner acceptable to the Association, whenever applicable.</p>	<p><i>RPF and PF prepared, , consulted upon, and disclosed on March 29, 2021.</i></p> <p><i>RAPs to be prepared, disclosed, consulted upon, and adopted prior to carrying out civil works</i></p>	<p>ProAzul, FNDS/MADER, MIMAIP</p>
5.2	<p>GRIEVANCE REDRESS MECHANISM (GRM) The project GRM shall be used to address resettlement-related complaints and is described in the RPF, PF and SEP</p>		
ESS6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>BIODIVERSITY RISKS AND IMPACTS: The ESMF includes criteria and procedures to ensure that sub-project investments are designed and implemented in ways that avoid damage to protected areas or critical habitats.</p>	<p><i>ESMF was prepared, consulted upon, and disclosed on March 29, 2021.</i></p>	<p>ProAzul, FNDS/MADER, MIMAIP</p>

	Biodiversity Management Plans shall be prepared as part of the site specific ESMPs, and their implementation documented in monthly reports from Contractors that the <i>Recipient</i> shall compile and make available to the <i>Association</i> upon request.	<i>Prior to launching bidding contract and implemented prior commencing works and maintained throughout Project implementation.</i>	
ESS7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
This standard is not relevant currently as there are no distinct social and cultural groups have been identified in accordance with ESS7 and in the national context in the project area.			
ESS 8: CULTURAL HERITAGE			
8.1	CHANCE FINDS: If potential adverse impacts on cultural heritage near or on any project sites are identified, cultural heritage plan(s) will be developed in accordance with this standard and national law, including chance find procedures. The ESMF includes guidelines for Chance Find Procedures according to national law, which will be followed.	<i>Prior to launching bidding contract and implemented prior commencing works and maintained throughout Project implementation.</i>	ProAzul, FNDS/MADER, and MIMAIP
ESS9: FINANCIAL INTERMEDIARIES			
There are no actions under the Project related to Financial Intermediaries, therefore there are no mitigation measures to be undertaken under ESS9.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN (SEP) PREPARATION AND IMPLEMENTATION The SEP guides stakeholder engagement during preparation of the environment and social risk management instruments and other studies and shall be regularly updated to reflect new stakeholders identified and appropriate ways to consult them.	<i>SEP disclosed on March 29, 2021. To be implemented throughout the project cycle</i>	ProAzul, FNDS/MADER, and MIMAIP
10.2	GRIEVANCE REDRESS MECHANISM: Prepare, adopt, maintain and operate a grievance mechanism, as described in the SEP, as per ESS10.	<i>GRM will be operational within the first 1 month of project effectiveness.</i>	ProAzul, FNDS/MADER, and MIMAIP

	<p>The Grievance Redress Mechanism shall provide project-affected parties with accessible and inclusive means to raise issues and grievances SEA/SH-related complaints, in accordance with ESS10, and in a manner acceptable to the Association.</p>	<p><i>GRM to be maintained throughout Project implementation.</i></p>	
	<p>Capacity Building All capacity building activities and trainings shall be further detailed in the ESMF, trainings may be required in:</p> <ol style="list-style-type: none"> 1. Training in ESF (Environmental and Social Standards) 2. Stakeholder engagement 3. Environmental and Social screening 4. Occupational and Community Health and Safety 5. Emergency preparedness and response 6. Gender-Based Violence Risk Mitigation 7. GRM 8. Monitoring and reporting 9. Labour management procedures 10. Covid-19 mitigation 11. RAP preparation and implementation 12. Response arrangements to emergency situations 13. PMP – acquisition, use, conservation and final disposal of pesticides 14. Environmental education in conservation areas and buffer zones 	<p><i>Before commencement of relevant activities and throughout Project implementation.</i></p>	<p>ProAzul, FNDS/MADER, and MIMAIP</p>

